

<b>UTILITY</b> <b>PATENT APPLICATION</b> <b>TRANSMITTAL</b> <small>(Only for nonprovisional applications under 37 CFR § 1.53(b))</small>		<b>Attorney Docket No.</b> 501124.01 <b>January 9, 2002</b> <b>First Inventor or Application Identifier</b> Joseph Ross McNeal <b>Title</b> PIVOTABLE STRAP-BUCKLE ASSEMBLY <b>Express Mail Label No.</b> EL 872037316 US
<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>		<b>ADDRESS TO:</b> Box Patent Application Commissioner of Patents Washington, D.C. 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Sheet (+ copy) <small>(Submit an original and a duplicate for fee processing)</small> 2. <input checked="" type="checkbox"/> Applicant(s) Claim(s) Small Entity Status		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
3. <input checked="" type="checkbox"/> Specification [Total Pages] 15 <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive Title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>		8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer-Readable Form (CRF)</li> <li>b. <input type="checkbox"/> Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul>
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets] 4		<b>ACCOMPANYING APPLICATION PARTS</b>
5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages] 1		9. <input type="checkbox"/> Certification Under 35 U.S.C. 122(b)(2)(B)(i)
a. <input checked="" type="checkbox"/> Newly executed (original or copy)		10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small>		11. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)		12. <input type="checkbox"/> English Translation Document (if applicable)
6. <input checked="" type="checkbox"/> Application Data Sheet (37 C.F.R. 1.76)		13. <input type="checkbox"/> Preliminary Amendment Total Pages _____
		14. <input checked="" type="checkbox"/> Return Receipt Postcard
		15. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations
		16. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>
		17. <input checked="" type="checkbox"/> Other: <u>Certificate of Express Mail</u> <u>Check</u>
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment		
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part (CIP) of prior Application No.: _____ Filed _____		
Prior application information: Examiner _____ Group / Art Unit _____		
<input type="checkbox"/> Incorporation By Reference (useable if box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		
<b>CORRESPONDENCE ADDRESS</b>		
Andrew F. Pratt, Esq. Dorsey & Whitney LLP 1420 Fifth Avenue, Suite 3400 Seattle, Washington 98101-4010 (206) 903-8800 phone (206) 903-8820 fax		<b>Customer No. 27,076</b>
TYPED or PRINTED NAME <u>Andrew F. Pratt</u>		REGISTRATION NO. <u>48,985</u>
SIGNATURE <u>Andrew F. Pratt</u>		Date <u>9 January 2002</u>

# FEE TRANSMITTAL SHEET (FOR FY 2001)

Complete if Known	
Express Mail No.	EL 872037316 US
Filing Date	Concurrently Herewith
First Named Inventor	Joseph Ross McNeal
Group Art Unit	Not Yet Assigned
Examiner	Not Yet Assigned
Atty. Docket Number	501124.01

## METHOD OF PAYMENT (Check One)

1.  The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2.  Check Enclosed

## FEE CALCULATION (Continued)

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
		101	740	201	370	<input checked="" type="checkbox"/> Utility Filing Fee
		106	330	206	165	<input type="checkbox"/> Design Filing Fee
		108	740	208	370	<input type="checkbox"/> Reissue Filing Fee
		114	160	214	80	<input type="checkbox"/> Provisional Filing Fee
<b>Subtotal (1)</b>					<b>\$ 370</b>	

#### 2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	21	20	= 1 x \$ 9	= \$ 9
Ind.	5	3	= 2 x \$ 42	= \$ 84
IMultiple Dependent Claims			x \$	= \$
				<b>Subtotal (2)</b> <b>\$ 93</b>

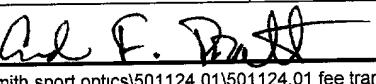
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
		103	18	203	9	Claims in excess of 20
		102	84	202	42	Independent claims in excess of 3
		104	280	204	140	Multiple dependent Claim
		109	80	209	40	Reissue independent claims over original patent
		110	18	210	9	Reissue claims in excess of 20 and over original patent

#### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
		105	130	205	65	Surcharge - Late filing fee or oath	\$
		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
		139	130	139	130	Non-English specification	\$
		147	2,520	147	2,520	For Filing a Request for Reexamination	\$
		195	300	196	300	Publication (early or Republication)	\$
		115	110	215	55	Extension for reply within first month	\$
		116	400	216	200	Extension for reply within 2 <sup>nd</sup> month	\$
		117	920	217	460	Extension for reply within 3 <sup>rd</sup> month	\$
		118	1,440	218	720	Extension for reply within 4 <sup>th</sup> month	\$
		128	1,960	280	980	Extension for reply within 5 <sup>th</sup> month	\$
		120	320	220	160	Filing a brief in support of an appeal	\$
		121	280	270	140	Request for oral hearing	\$
		148	110	248	55	Terminal Disclaimer Fee	\$
		140	110	240	55	Petition to revive – unavoidable	\$
		141	1,280	241	640	Petition to revive – unintentional	\$
		142	1,280	242	640	Utility/Reissue issue fee (+ advance copies)	\$
		143	460	243	230	Design issue fee (+ advance copies)	\$
		122	130	122	130	Petitions to the Commissioner	\$
		123	50	123	50	Petitions related to provisional applications	\$
		126	180	126	180	Submission of IDS	\$
		581	40	81	40	Recording each patent assignment per property (times number of properties)	\$ 40
		179	740	279	370	Request for Continued Examination (RCE)	\$
		Other fee (specify)					
		<b>Subtotal (3)</b>					<b>\$ 40</b>
		<b>Total Amount of Payment:</b>					<b>\$ 503</b>

Submitted by:

Name: Andrew F. Pratt      Reg. No.: 48,985      Telephone: (206) 903-8800

Signature:  Date: 9 January 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Joseph Ross McNeal Attorney Docket No.: 501124.01  
Filed : January 9, 2002  
Title : PIVOTABLE STRAP-BUCKLE ASSEMBLY

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL 872037316 US, on January 9, 2002, addressed to Box Patent Application, Commissioner for Patents, Washington, DC 20231.

Respectfully submitted,

DORSEY & WHITNEY LLP

Heather Poliwach  
for West Courier

AFP:hap

Enclosures:

Postcard  
Check  
PTO/SB/05 Utility Patent Application Transmittal  
Application Data Sheet  
Fee Transmittal Sheet (+ copy)  
General Authorization  
Specification, Claims, Abstract (15 pages)  
4 Sheets of Drawings (Figures 1-8B)  
Declaration  
Election and Power of Attorney  
Form PTO-1595  
Assignment  
Information Disclosure Statement  
Form PTO-1449  
Cited References (22)